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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Douglas S. Ransom ET AL.

Appln. No.: 10/752,467

Filed: January 5, 2004

For: SYSTEM AND METHOD FOR ASSIGNING  
AN IDENTITY TO AN INTELLIGENT  
ELECTRONIC DEVICE

Examiner: Unassigned

Art Unit: 2131

Attorney Docket No: 6270/150 (Client Ref. No. 300154)

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Information Disclosure Statement; Form PTO-1449; Cited references A41 and A42.  
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		or	Not a Small Entity	
					Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x \$100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
Total					\$		Total		\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

3/4/05  
Date

James L. Katz (Reg. No. 42,711)



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

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Applicants are enclosing Form PTO-1449 (six sheets), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

As the above captioned application claims priority under 35 U.S.C. §120 to the following earlier filed patent application(s); 09/723,564, filed November 28, 2000 and 10/752,467, filed January 5, 2004, per 37 C.F.R. §1.98(d), only copies of references, including patents, publications, pending U.S. applications or other information, not provided in the earlier application(s) identified above in compliance with 37 C.F.R. §1.98(a)-(c), are provided herewith as follows:

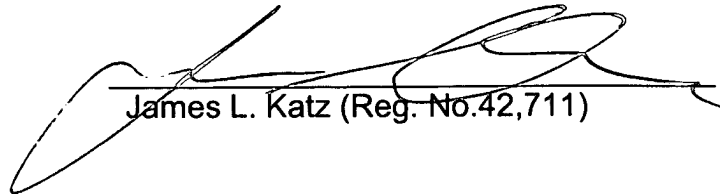
OTHER ART
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Dallas Semiconductor "DS2432™ 1k-Bit Protected 1-Wire EEPROM with SHA-1 Engine", product specifications, pp. 1-30.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

3/4/05  
Date

  
James L. Katz (Reg. No. 42,711)





FORM PTO-1449	SERIAL NO. 10/752,467	CASE NO. 6270/150
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 5, 2004	GROUP ART UNIT 2131
(use several sheets if necessary)		APPLICANT(S): Douglas S. Ransom et al.

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,589,075	05/13/86	Buennagel	364/492	02/23/83
	A2	4,641,248	02/03/87	Suzuki et al.	364/492	11/16/83
	A3	5,181,026	01/1993	Granville		
	A4	5,448,229	09/05/95	Lee, Jr.	340/870.02	12/28/92
	A5	5,459,459	10/17/95	Lee, Jr.	340/870.02	11/25/94
	A6	5,477,216	12/19/95	Lee, Jr. et al.	340/870.02	10/30/92
	A7	5,495,239	02/27/96	Ouellette	340/870.02	08/02/94
	A8	5,517,423	05/14/96	Pomatto	364/492	01/11/94
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	A11	5,680,324	10/21/97	Schweitzer, III et al.	364/514	04/07/95
	A12	5,699,276	12/16/97	Roos	364/514	12/15/95
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	A14	5,764,155	06/09/98	Kertesz et al.	340/825.08	04/03/94
	A15	5,862,391	01/19/99	Salas et al.	395/750.01	04/03/96
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	A18	6,005,759	12/21/99	Hart et al.	361/66	03/16/98
	A19	6,035,285	03/07/00	Schlect et al.	705/30	03/05/99
	A20	6,088,659	07/11/00	Kelley et al.	702/62	05/21/98
	A21	6,118,269	09/12/00	Davis	324/110	04/15/97
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	A23	6,169,979	01/02/01	Johnson	705/412	02/17/98
	A24	6,178,362 B1	01/23/01	Woolard et al.	700/295	09/24/98
	A25	6,259,972 B1	07/10/01	Sumic et al.	700/286	01/16/98
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	A30	6,549,880 B1	04/15/03	Willoughby et al.	703/13	09/15/99
	A31	6,553,418 B1	04/22/03	Collins et al.	709/224	01/02/99
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	A36	2002/0091784	07/11/02	Baker et al.	709/208	12/15/00

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(use several sheets if necessary)	APPLICANT(S): Douglas S. Ransom et al.	

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A37	2002/0116550 A1	08/22/02	Hansen	709/330	04/17/02
	A38	2002/0120685 A1	08/29/02	Srivastava et al	709/203	04/10/02
	A39	2002/0161536	10/31/02	Suh et al.	702/62	04/13/01
	A40	2003/0176952 A1	09/18/03	Collins et al.	700/286	03/13/03

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

## OTHER ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	A41	Dallas Semiconductor "About Maxim" information sheet, obtained 9/16/2004 from <a href="http://www.maxim-ic.com/company">http://www.maxim-ic.com/company</a> , 2 pages.
	A42	Dallas Semiconductor "DS2432™ 1k-Bit Protected 1-Wire EEPROM with SHA-1 Engine", product specifications, pp. 1-30.
	A43	Questa Applications Summary Data Sheet, pp. 1-2, 07/02/02.
	A44	Tridium™ Vykon™ Energy "JACE-401™" Product Data Sheet, pp. 1-2, 09/16/02.
	A45	Tridium™ Vykon™ Energy "Vykon Energy Profiler" Product Data Sheet, pp. 1-4, 10/16/01.
	A46	Schneider Electric Square D "Web-Enabled Power Management Solutions" brochure, pp. 1-8, January 2002
	A47	Tridium™ Vykon™ Building "Vykon™ Alarm Service" Product Data Sheet, pp. 1-2, 06/20/02.
	A48	Tridium™ Vykon™ Building "JACE-512™" Product Data Sheet, pp. 1-2, 05/15/02.
	A49	Tridium™ Vykon™ Building "JACE-511™" Product Data Sheet, pp. 1-2, 05/28/02.
	A50	Vykon™ By Tridium "JACE-NP-1®" Product Data Sheet, pp. 1-2, 05/28/02.
	A51	Vykon™ By Tridium "WorkPlace Pro™" Product Data Sheet, pp. 1-2, 01/12/01.
	A52	Vykon™ Building "Web Supervisor™" Product Data Sheet, pp. 1-2, 11/30/01.
	A53	A8800-1 AcquiSuite Data Acquisition System specification, pp. 1-2, 09/24/01.
	A54	LiveData® Real-Time Data Management For Energy and Utility Companies brochure, pp. 1-4, ©copyright 2002, Rev. 01/2002.
	A55	Tridium™ Vykon™ Energy "With the Right Tools Energy Costs are Controllable..." brochure, pp. 1-8, 11/01/01.
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	A58	Press Release 24 Aug. 2000 "Connect One Announces Industry's First Wireless Chip to Connect Mobile Devices to the Internet", pp. 1-2.
	A59	Press Release, "Connect One and NAMS Create the World's First Dial-up Energy Meter that Sends and Receives E-Mail Without a Gateway", September 5, 2000, pp. 1-2.
	A60	NAMS Metals by Nisko, NMM-AKB Specifications page 1 of 1, September 5, 2000.
	A61	Connect One "Automatic Meter Reading via the Internet", pp. 1-2. July 11, 2001
	A62	iModem™ "The Fastest Way to Internet-Enable any Device", pp. 1-3. July 11, 2001
	A63	ConnectOne™ Connecting your Device to the Internet™ iChip™ The Internet in your palm™, pp. 1-6. July 11, 2001.
	A64	Engage Networks Launches Energy Management Venture, obtained at internet address <a href="http://www.engagenet.com/content/business_journal.shtml">http://www.engagenet.com/content/business_journal.shtml</a> , 09/20/00. Appeared in Business Journal Feb 11, 2000.
	A65	Engage Networks Inc. "D-Gen" Distributed Generation Management brochure, pp. 1-4. Link present as of Dec 2, 1998 according to web archive at <a href="http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm">http://web.archive.org/web/19981205200934/www.engagenet.com/products.htm</a>
	A66	Engage Networks Inc. "Internet Protocol Card for Revenue Meters" brochure, pp. 1-2. Link present as of Mar 3, 2000 on <a href="http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml">http://web.archive.org/web/20010306005433/www.engagenet.com/content/products.shtml</a> . Original document archived at <a href="http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf">http://web.archive.org/web/20030520161648/http://www.engagenet.com/datasheets/ipcard.pdf</a> on May 20, 2003.
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	A68	David W. Giles, "Direct-Access Metering Via the Web", 1998 CEA Conference, Toronto, Canada, pp. 1-19, © 1998 Power Measurement Ltd. Conference April 27-29/1998. Presented April 29/1998
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	A70	Press release, Santa Clara, CA 09/25/2000 "Connect One Reduces Time, Cost and Complexity to Connect Internet Appliances to Ethernet Lans", obtained at internet address <a href="http://www.connectone.com/html/prlsep25_2000.htm">http://www.connectone.com/html/prlsep25_2000.htm</a> .
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EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	A74	Signature System™ InfoNodes, obtained at internet address <a href="http://www.signaturesystem.com/infonode.html">http://www.signaturesystem.com/infonode.html</a> , 3/26/01. pp. 1-2.
	A75	Questa A2B.Platform™ brochure, "Enabling conversation through universal connectivity", pp. 1-4, located at <a href="http://www.questra.com/h1_products/Questa_A2Bplatform">http://www.questra.com/h1_products/Questa_A2Bplatform</a> . Applicants believe this reference was published prior to January 9, 2003.
	A76	Questa A2B.Sales™ brochure, "Conversation to help you win", pp. 1-2, located at <a href="http://www.questra.com/h1_products/Questa_A2Bsales">http://www.questra.com/h1_products/Questa_A2Bsales</a> . Applicants believe this reference was published prior to January 9, 2003.
	A77	Power Point Presentation: Presented at "Metering Europe 2000," September 5-7, 2000, source <a href="http://www.energyict.com/fh/media/Presentation_Metering2000Munich5.zip">http://www.energyict.com/fh/media/Presentation_Metering2000Munich5.zip</a> , pp. 1-37.
	A78	Brochure, RTU+Server, source <a href="http://www.energyict.com/fh/media/tru_plus_server.pdf">http://www.energyict.com/fh/media/tru_plus_server.pdf</a> , pp. 1-3, 4/6/01. Document archived at <a href="http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/tru_plus_server.pdf">http://web.archive.org/web/20020702060538/http://www.energyict.com/fh/media/tru_plus_server.pdf</a> Feb 7/2001. Link present on <a href="http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm">http://web.archive.org/web/20010207201520/www.energyict.com/fa/en_ab_frame.htm</a> on or before Feb 7/2001.
	A79	Brochure, EiServer, The Energy Information server, source <a href="http://www.energyict.com/fh/media/EiServer.pdf">http://www.energyict.com/fh/media/EiServer.pdf</a> , pp. 1-7, 7/14/00. Link present on or before Feb 7, 2001.
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	A81	Article, "Providing Tomorrow's Energy Management and Metering Tools Today," source, Metering International - 2001 Issue 1, page 18, publish date 1 <sup>st</sup> Quarter 2001, p. 1.
	A82	"Email relating to the general technology of the application received from a competitor", from Erich W. Gunther [mailto:erich@electrotek.com] to Brad Forth@pml.com sent 5/9/01 3:36pm, pp. 1-2.
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	A84	Electro Industries "Nexus 1250 for Industry and Utilities," brochure, obtained at internet address <a href="http://www.electroindustries.com/pdf/nxsbrochure.pdf">http://www.electroindustries.com/pdf/nxsbrochure.pdf</a> pp. 1-14 printed 06/01/01.
	A85	NetSilicon Solutions on Chip "NET+ARM™ Ethernet Processors" brochure, obtained at internet address <a href="http://www.netsilicon.com/EmbWeb/products/netarm.asp">http://www.netsilicon.com/EmbWeb/products/netarm.asp</a> , pp. 1-2, Copyright© 2001 NetSilicon, Inc. Document archived <a href="http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp">http://web.archive.org/web/20010719171514/www.netsilicon.com/embweb/products/netarm.asp</a> on July 19/2001 on July 19, 2001
	A86	EmWare® "Solutions Device Link," brochure, obtained at internet address <a href="http://www.emware.com/solutions/devicelink/">http://www.emware.com/solutions/devicelink/</a> , pp. 1-3, printed 06/01/01. Document archived <a href="http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/">http://web.archive.org/web/20010524222001/www.emware.com/solutions/devicelink/</a> May 24, 2001.

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	A87	IReady® "Technology iReady's Hardwired TCP/IP Stack," homepage, obtained at internet address <a href="http://www.iready.com/">http://www.iready.com/</a> , p. 1, ©2000-2001 iReady corporation, printed 06/01/01. First publishes as early as March 1, 2001. <a href="http://web.archive.org/web/20010515202409/http://www.iready.com/">http://web.archive.org/web/20010515202409/http://www.iready.com/</a> link on right side to "technology/index.html" captured March 15, 2001.
	A88	muNet News & Events Press Releases "muNet makes cable industry debut with its WebGate™ Internet Residential and Commercial Information Systems, and HomeHeartBeat", <a href="http://www.munet.com">http://www.munet.com</a> , pp. 1-2, 12/15/99.
	A89	muNet News & Events Press Releases "muNet's WebGate™ Systems Finds a Home on the Internet!" <a href="http://www.munet.com">http://www.munet.com</a> , pp. 1-2, 03/18/99.
	A90	webGate™ IRIS Technology, products brochure pp. 1-9. Applicants believe this reference was published prior to January 9, 2003.
	A91	MuNet News & Events Press Release "muNet Demonstrates End-to-Enc IP-Based Energy Management System at DistribuTECH," Feb. 5, 2000, pp 1-2.
	A92	WebGate™ IRIS™ "Internet Residential Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for munet.com.
	A93	WebGate™ ICIS™ "Internet Commercial Information System," p. 1 of 1 Published in Energy IT November/December 2000 Technology Info Center. See <a href="http://www.platts.com/infotech/issues/0011/eittic0011.shtml">http://www.platts.com/infotech/issues/0011/eittic0011.shtml</a> for this reference - search for munet.com.
	A94	F. Momal, C. Pinto-Pereira, "Using World-Wide-Web for Control Systems" Abstract, AT Division CERN, 1211 Geneva 23, 6 pages. Published 1995.
	A95	P. M. Corcoran, J. Desbonnet and K. Lusted "THPM 14.2 "CEBus Network Access via the World-Wide-Web" Abstract, ©1996 IEEE, pp. 236 & 237.
	A96	ATI Systems, "Technical Overview Ethernet SCAN II™ Module" specification, October 1994, 2 pages.
	A97	J. Hofman, "The Consumer Electronic Bus: An Integrated Multi-Media LAN for the Home", International Journal of Digital and Analog Communication Systems, Vol. 4, 77-86 (1991), ©1991 by John Wiley & Sons, Ltd.
	A98	Lucent Technologies "AT&T Forms Expert Team to Design Utility Industry Solutions, press release, Monday, January 23, 1995, pp. 1&2.
	A99	Newsbytes Inc., Tampa, FLA, April 13, 1995 pNEW04130013 "TECO & IBM – The "Smart House" Is Here" Press release, obtained at <a href="http://filebox.vt.edu/users/mikemike/smart-house/infotrac/article4.txt">http://filebox.vt.edu/users/mikemike/smart-house/infotrac/article4.txt</a> , 7/11/02, pp. 1&2
	A100	Distributed.Net, <a href="http://n0cqi.distributed.net/faq/cache/178.html">http://n0cqi.distributed.net/faq/cache/178.html</a> , printed June/10/2003. Link archived at <a href="http://web.archive.org/web/20000901052607/n0cqi.distributed.net/faq/cache/178.html">http://web.archive.org/web/20000901052607/n0cqi.distributed.net/faq/cache/178.html</a> on Sept 01, /2000.

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	A101	Questa, Security overview, obtained <a href="http://www.questa.com/products/security.asp">http://www.questa.com/products/security.asp</a> , printed June 10, 2003. 2 pages. Applicants believe this reference was published prior to January 9, 2003.
	A102	Questa Mastering Smart Security brochure, 2 pages Copyright 2002 Questa Corporation, obtained <a href="http://www.questa.com/collateral/collateral_files/SecurityOverview.pdf">http://www.questa.com/collateral/collateral_files/SecurityOverview.pdf</a> , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A103	Questa Total Access brochure, 2 pages, Copyright 2003 Questa Corporation, obtained <a href="http://www.questa.com/collateral/collateral_files/TotalAccess.pdf">http://www.questa.com/collateral/collateral_files/TotalAccess.pdf</a> , printed June 10, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A104	Axeda DRM System Overview, 2 pages, obtained <a href="http://www.axeda.com/solutions/overview.html">http://www.axeda.com/solutions/overview.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A105	Axeda Access, 3 pages, obtained <a href="http://www.axeda.com/solutions/portals/portal_access.html">http://www.axeda.com/solutions/portals/portal_access.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A106	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html">http://www.axeda.com/solutions/tech_challenges/firewallfriendly.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A107	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/index.html">http://www.axeda.com/solutions/tech_challenges/index.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A108	Axeda DRM Technology Overview, 1 page, obtained <a href="http://www.axeda.com/solutions/tech_challenges/standards.html">http://www.axeda.com/solutions/tech_challenges/standards.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A109	Axeda Solutions for Industry, 1 page, obtained <a href="http://www.axeda.com/solutions/industries/industrial.html">http://www.axeda.com/solutions/industries/industrial.html</a> , printed June 9, 2003. Archived at <a href="http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html">http://web.archive.org/web/20020221165907/http://axeda.com/industries/industrial.html</a> on Feb 21, 2002.
	A110	Axeda Agents, 2 pages, obtained <a href="http://www.axeda.com/solutions/device_servers/index.html">http://www.axeda.com/solutions/device_servers/index.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A111	Axeda Case Studies, "Axeda Prevents Power Failures at a Leading Microprocessor Plant in Israel", 2 pages, obtained <a href="http://www.axeda.com/industries/casestudies_microprocessor.html">http://www.axeda.com/industries/casestudies_microprocessor.html</a> , printed June 9, 2003. Applicants believe this reference was published prior to January 9, 2003.
	A112	David Mueller and Sandy Smith, Electrotek Concepts, "Using Web-based Power Quality Monitoring for Problem Solving and Improving Customer Relations", proceedings of the 4 <sup>th</sup> Annual Latin American Power 99 Conference, Jun. 29, 1999, pp. 263-271.

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